# On the Inefficiency of Moist Geostrophic Turbulence: A Theory for the

## **Energetic Output under Sub-saturated Conditions**

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ABSTRACT: The equator-to-pole temperature gradient has traditionally been understood as the primary driver of the midlatitude stormtracks, which derive their kinetic energy in the process 10 of transporting sensible heat down the gradient. Latent heat, however, accounts for an estimated 30-60% of the meridional energy transport, a portion which is likely to increase in a warmer world. The contribution of latent heat to the energetics is complicated in that it is inefficient: 13 only a fraction of the transported latent heat is converted into kinetic energy. Currently, there is 14 no complete theory to explain the relationship between meridional energy transport and kinetic energy generation by midlatitudes eddies. We use a two-layer moist quasi-geostrophic model to develop a theory of how the energetic output of the midlatitude atmosphere depends on the relative 17 humidity structure. By varying the surface evaporation rate, we show that the system only reaches the maximum possible energetic output in the saturated limit, producing substantially less kinetic 19 energy at lower evaporation rates. We quantify this reduction in kinetic energy production in terms 20 of a moist conversion efficiency. Using a Moist Energetic framework, we identify that precipitation 21 dissipation and the diffusion of moisture in subsaturated regions account for the reduction in energetic output. We then show that the moist conversion efficiency can be diagnosed from the 23 distribution of humidity.

SIGNIFICANCE STATEMENT: The impact of humidity on the strength of mid-latitude storms is not well understood. Humidity will increase as the planet warms, but it is unclear whether storms will become stronger or weaker as a result. We use an idealized computer model to learn about how humidity will impact the strength of storms. We focus on the effect of evaporation at the planet's surface, with simulations ranging from a completely dry atmosphere to one with rain everywhere. In between these two limits, it is raining in only part of the atmosphere and storms are much weaker than the case with rain everywhere. We discuss how to connect these results to more complex models and real-world data.

Predicting the intensity of the midlatitude stormtracks is an ongoing challenge in climate fore-

casting. CMIP simulations of warming scenarios in recent decades have underestimated both the

intensification of the Southern Hemisphere stormtracks and the transport of moist static energy

across them (Chemke et al. 2022). Storm activity increases despite relatively little change in the

zonally-averaged equator-to-pole temperature gradient and baroclinicity, which are traditionally

#### 1. Introduction

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understood as the primary drivers of storms in the midlatitudes. More recently, Kang et al. (2024) demonstrated that prescribing the sea surface temperature in AMIP6 models resulted in Southern Hemisphere storm activity and moist static energy transport that increased within error of the 41 reanalysis trends. However, reanalysis trends exhibit significant uncertainty, demonstrating the need for better understanding of what processes contribute to increased storminess. Models project the relative humidity of the atmosphere to remain constant with warming (Soden and Held 2006; Sherwood et al. 2010; Merlis et al. 2024), implying that the equator-to-pole humidity 45 gradient increases by  $\sim 7\%$  per K for a uniform warming. Even if the temperature gradient decreases, the humidity gradient is expected to increase due to the exponential increase in saturation vapor pressure with temperature: the absolute water vapor content of the low latitudes will increase more rapidly than that of the high latitudes. Because humidity and temperature interact when latent heat is released through condensation, moist processes contribute to a tug-of-war on the eddy kinetic energy (EKE) of the stormtracks (Shaw et al. 2016), with some factors contributing to increases and others to decreases. These opposing influences mean that the impact of moisture on the size, frequency, and propagation of storms can change, even if latent heat is not the primary driver of changes to the total energetics (e.g., Lorenz and DeWeaver 2007; O'Gorman 2010). An updated theory for the energetics of the midlatitude storm tracks which includes the impact of moisture is necessary to understand their response to global warming and other forcings.

This study develops a theoretical framework for how moisture impacts the kinetic energy of
the midlatitude atmosphere, with an emphasis on how the subsaturation of the atmosphere, that
is, the fact the relative humidity of the atmosphere is generally far from 100%, limits mechanical
output. We use an idealized framework, the Moist Quasi-geostrophic model (MQG) of Lapeyre and
Held (2004), which is particularly well-suited for our purpose as it features a uniform background
evaporation that tunes the relative humidity.

Our first paper Brown et al. (2023) discussed the energetics of MQG under very high evaporation,
which keeps the atmosphere at saturation nearly everywhere. Our analysis introduced the concept
of Moist Energy (ME), a quadratic term quantifying moisture fluctuations. In the saturated limit,
downgradient moisture transport acts as a source for the Eddy Moist Energy (EME), which is
converted into EKE, with more intense eddies, a stronger inverse cascade, and a larger eddycontaining scale than a dry atmosphere with the same meridional temperature gradient.

However, precipitation only occurs over a small fraction of the atmosphere, and this saturated limit is far from our current climate. It therefore does not accurately capture the impact of moisture on the energetics of Earth's stormtracks. The original experiments of Lapeyre and Held (2004) 71 use a lower evaporation rate and, consequently, feature large unsaturated regions. Even though 72 the background moisture gradient is the same, the kinetic energy is reduced relative to our near saturated integrations with stronger evaporation. We argue that the energetics of moist geostrophic turbulence depend not only on the moisture and temperature gradients, but also on the portion 75 of the domain that is unsaturated. We consider a wider range of evaporation rates to explore the 76 transition from low to high relative humidity and address the question: how does the injection of energy through evaporation (latent heat) at the surface impact the production of kinetic energy? In 78 particular, how does this transition vary with the strength of background gradients in temperature 79 and moisture? We define and develop a moist conversion efficiency as a measure of how moisture fluxes are converted into EKE, as compared with the saturated case which we take to be full 81 efficiency. We show that the moist conversion efficiency increases rapidly at low evaporation, then

- gradually converges to a saturated limit at high evaporation. We further explore how moist systems
- lose EME through small-scale diffusion and dissipation due to moist processes.
- Section 2 provides context for this study, reviewing past work on the impact of moisture on
- midlatitude atmospheric dynamics. Section 3 briefly introduces the MQG system and the under-
- <sup>87</sup> lying energetic framework, with an emphasis on the generation and dissipation of EME. Section 4
- investigates how mechanical efficiency manifests itself in MQG. Section 5 defines a "moisture
- 89 conversion efficiency" and the mechanisms that regulate it. Section 6 synthesizes the results of
- <sub>90</sub> the previous section and introduces a parameter that predicts the moisture conversion efficiency.
- 91 Section 7 concludes the study.

## 2. Background

In this work, we focus on how moisture impacts energetics using intuition from "dry" geostrophic theory. The atmosphere acts as a heat engine, generating kinetic energy through the downgradient

transport of heat. In the tropics, this manifests as energy transport from the warm surface to the cold

top of the atmosphere. The midlatitudes additionally feature a significant meridional temperature

gradient, resulting in a redistribution of heat from the tropics to the poles. The result is a baroclinic

system with synoptic-scale storms, the intensity of which is constrained by the efficiency of the

mid-latitude heat engine (e.g., Barry et al. 2002).

To translate this intuition to a moist framework, we need two key adjustments. First, the heat 100 transport must include latent heat. In the current climate, latent heat accounts for between onethird and one-half of the poleward energy transport in the midlatitudes (Lorenz 1978), a portion 102 expected to increase in a warmer world (Frierson et al. 2006). Second, the introduction of moisture 103 fundamentally affects the efficiency of heat engines. Pauluis (2011) shows that the mechanical output of the thermodynamic cycle involving moist air is greatly constrained by the degree of 105 saturation in the cycle. A saturated cycle - one where the system is everywhere at the saturation 106 value set by the Clausius-Clayperon relation - generates the same mechanical output as a Carnot 107 cycle. A partially saturated cycle is significantly less efficient. Evaporation of liquid water in unsaturated air, diffusion of water vapor, and falling rainfall are irreversible processes that can 109 greatly reduce the mechanical output of a moist atmosphere. This effect has been demonstrated 110 for convection (Pauluis and Held 2002a,b; Singh and O'Gorman 2016; Lever and Pauluis 2024),

tropical cyclones (Pauluis and Zhang 2017), and the general global circulation (Laliberté et al. 2015). A theory for moist geostrophic turbulence must address these two aspects: the enhancement of the meridional heat transport by the inclusion of latent heat and the reduction of mechanical output due to irreversible moist processes.

Indeed, moisture has been observed to have competing effects on processes relevant to the 116 midlatitude storm tracks. Moisture can intensify instabilities by reducing the effective stratification 117 for ascending parcels (Emanuel et al. 1987; Lapeyre and Held 2004; Lambaerts et al. 2011; Schneider and O'Gorman 2008). The theory behind these localized instabilities has primarily been developed in linearized systems with highly parameterized moisture that is assumed to be 120 continuously available without an explicit evaporation term. These studies have provided useful 121 insights into the scale, growth, and evolution of such instabilities (Whitaker and Davis 1994; 122 Parker and Thorpe 1995; Moore and Montgomery 2004; Adames and Ming 2018; Kohl and 123 O'Gorman 2022) that are borne out well in mesoscale models (Moore and Montgomery 2005), 124 GCMs (O'Gorman et al. 2018), and reanalysis data (Wernli et al. 2002; Moore et al. 2008). However, they provide limited insight into how the availability of moisture, governed by planetary 126 constraints such as the evaporation rate and poleward transport of latent heat, determine the 127 frequency of such instabilities.

For equilibrated systems (e.g., radiative-convective equilibrium, quasi-equilibrium), moisture weakens the flow. In the midlatitudes, the poleward transport of latent heat reduces EKE by changing the temperature structure of the atmosphere. This effect is especially pronounced in the presence of a non-homogeneous background gradient, e.g., a Bickley jet, where precipitation poleward of the jet flattens the meridional temperature gradient (Bembenek et al. 2020; Lutsko and Hell 2021). Furthermore, when changes to the dry static stability at least partially compensate for the destabilizing effect of a moister atmosphere (Juckes 2000; Zurita-Gotor 2005; Frierson 2006), moist baroclinic growth occurs less frequently, restricting the growth of EKE on average over long time periods.

We propose that the combined effect of moisture on the midlatitude stormtracks hinges on how efficiently moisture fluxes are converted into EKE as a function of mean moisture deficit, or degree to which the atmosphere is subsaturated. Indeed, the initial distribution of moisture has been shown to significantly impact the total energetics in eddy life-cycles (Pavan et al. 1999). Implicitly

underlying this result is the interplay between the generation of small-scale moisture variance by turbulent mixing and its removal by diabatic processes. We show that the portion of the domain at saturation influences the energetics of the system by determining the predominant process by which moisture anomalies are removed. Subsaturated regions tend to mix moisture to smaller scales, resulting in the removal of moisture anomalies by dissipation. The same process can result in highly localized condensation and the formation of isolated vortices. In contrast, highly saturated systems tend to convert moisture anomalies into temperature anomalies at scales larger than the turbulent dissipation scale. Consequently, the degree of saturation determines the mechanical output of moist geostrophic turbulence.

In Brown et al. (2023), we showed how the inclusion of the meridional latent heat transport 151 greatly enhances geostrophic turbulence in the saturated limit. Using MQG with high evaporation 152 and fast precipitation adjustment, we found that a greater bulk moisture stratification significantly 153 increases the generation of kinetic energy and elongates the inverse cascade of the barotropic 154 flow. We focused solely on the limiting case of a saturated atmosphere where precipitation occurs everywhere. The saturated limit has the advantage of being mathematically equivalent to a dry 156 model after a rescaling based on the gross moisture stratification, but circumvents the more difficult 157 issue of how much kinetic energy is generated in a partially saturated atmosphere. Here, we focus on the transition from the dry to saturated limits where irreversible moist processes play a critical 159 role.

## 3. Model Description

As in Brown et al. (2023), we use the Moist Quasi-Geostrophic (MQG) model of Lapeyre and Held (2004) (Figure 1), a two-layer model on a  $\beta$  plane with moisture constrained to the bottom

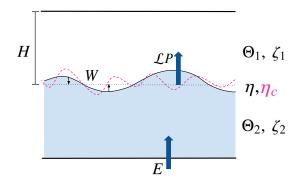


Fig. 1. The Moist Quasi-Geostrophic model of Lapeyre and Held (2004), consisting of a top and bottom layer vorticity  $\zeta_i$ , i = 1, 2 respectively, an interface thickness  $\eta$ , and a condensation thickness  $\eta_c$ . The moisture is contained to the bottom layer, shaded blue, and precipitation P occurs when the moisture content rises above the condensation thickness, depicted by the dashed magenta line. Vertical motion W adjusts interface anomalies to a reference value. A constant evaporation rate E replenishes the moisture content of the bottom layer, and radiative cooling R raises the interface  $\eta$  and the condensation interface  $\eta_c$ .

layer. The evolution of the system is described by the equations

$$\frac{D_1}{Dt}(\zeta_1 + \beta y) = -f_0 \frac{W}{H} - \nu \nabla^8 \zeta_1, \tag{1}$$

$$\frac{D_2}{Dt}(\zeta_2 + \beta y) = +f_0 \frac{W}{H} - r\zeta_2 - \nu \nabla^8 \zeta_2, \tag{2}$$

$$\frac{D_2}{Dt}\eta = -W + \mathcal{L}P - R - \nu \nabla^8 \eta, \tag{3}$$

$$\frac{D_2}{Dt}\eta_c = -\frac{\mathcal{L}P}{\mu_s - 1} + \frac{E - R}{1 + C} - \nu \nabla^8 \eta_c, \tag{4}$$

$$P = \begin{cases} 0 & \eta \ge \eta_c \\ (1+C)\frac{\eta_c - \eta}{\tau} & \eta < \eta_c \end{cases}$$
 (5)

We decompose the flow into the top and bottom layer vorticity ( $\zeta_1$  and  $\zeta_2$ , respectively). The material derivative of the ith layer flow is represented with  $D_i/Dt$ . Each vorticity is advected by the flow in its own layer, while the interface  $\eta$  and condensation interface  $\eta_c$  (a measure of the moisture content, detailed below) are advected by the lower layer. The first term on the right-hand side of the vorticity equations, Equations (1) and (2), captures the generation of vorticity by vertical motion (or ageostrophic convergence  $W = H\nabla \cdot \vec{u}_1$ ), which can be assessed diagnostically through

an  $\omega$ -equation (see Appendix B of Brown et al. 2023). The second term on the right hand side of Equation (2) is the Ekman dissipation at the surface. The final term in all prognostic equations is a higher order numerical dissipation.

Equation (3) captures the evolution of the interface between the two layers, at  $z = H - \eta$ . In quasigeostrophic (QG) theory,  $\eta$  is proportional to the baroclinic streamfunction  $\psi_1 - \psi_2$  via the thermal 181 wind relation  $\eta = H(\psi_1 - \psi_2)/\lambda^2 f_0$ . The Rossby deformation radius  $\lambda = \sqrt{g^* H/f_0}$  is defined in 182 terms of the effective gravity  $g^* = g\delta\theta/\theta_0$ , the reference thickness H, and the reference rotation rate  $f_0$ . Under the assumption that moisture is confined to the lower layer, the interface position 184  $\eta$  also characterizes the maximum vertical extent of water vapor. The interface is additionally 185 forced by latent heat release in response to precipitation P and dissipated by a constant radiative cooling R. The strength of latent heating relative to the vertical stratification is characterized by the 187 non-dimensional parameter  $\mathcal{L} = \frac{L_q m_0}{c_p \delta \theta}$ , where  $L_q$  is the strength of latent heating,  $m_0$  is a reference 188 moisture content, and  $c_p$  is the specific heat capacity at constant pressure. 189

Following Brown et al. (2023), Equation (4) governs the condensation thickness  $\eta_c$ , constructed as a moisture equation independent of ageostrophic convergence. The condensation height is defined by

$$\eta_c = \frac{\eta + m}{1 + C} = \eta + \frac{m - C\eta}{1 + C}.$$
(6)

Here, m is a thickness-equivalent water vapor mixing ratio, defined relative to a reference value  $m_0$  such that the total mixing ratio is given by  $m_0 (1 + m/H)$ . Moisture is contained exclusively in the bottom layer, governed by the equation

$$\frac{D_2}{Dt}m = +W - P + E. (7)$$

The mixing ratio is increased by ageostrophic convergence W in the lower layer, removed by precipitation P, and continuously replenished by evaporation E from the surface. The evaporation is constant and uniform, so that there is a constant relation between radiative cooling and evaporation,  $R = \mathcal{L}E$ .

Precipitation occurs when the moisture content exceeds saturation. We define the saturation value  $m_s$  by a linearization of the Clausius-Clayperon relation,

$$m_s = C\eta, \tag{8}$$

where  $\eta$  corresponds to temperature in the QG framework. In the regions where the moisture content exceeds saturation ( $\eta_c > \eta$ ), the system is supersaturated, as illustrated in Figure 1 where  $\eta$  (the solid black line) rises above the condensation level  $\eta_c$  (the dotted pink line), recalling that a positive value of  $\eta$  implies a downward shift of the interface (see also Figure 2 of Brown et al. 2023). When supersaturation occurs, precipitation P, determined in Equation (5), relaxes the condensation level to the interface level with characteristic time  $\tau$ .

Precipitation reduces the effective static stability of the system, the strength of the reduction is determined by the amount of latent heat release. Moisture surpluses (that is, subsaturated regions) arise from both the meridional and vertical transport of moisture, where in the two-layer model, vertical transport corresponds to the increase in m from the +W term in Equation (7). The gross moist stratification is therefore defined relative to both the vertical and meridional moisture gradients,

$$\mu_s^{-1} = \frac{1 - \mathcal{L}}{1 + Cf},\tag{9}$$

where  $\mathcal{L}$  is proportional to the reference moisture content of the lower layer  $m_0$  and inversely proportional to the layer temperature difference  $\delta\theta$ .

Each prognostic equation contains an eighth-order diffusion term dominant at small scales. As
we will show, this term is a significant sink of the condensation thickness. In all other equations,
it acts to maintain numerical stability but has an otherwise negligible role in the dynamics.

Both the interface and the condensation level have a homogenous background gradient,

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$$\overline{\eta} = \overline{\eta}_c = -U\lambda^{-2}\gamma,\tag{10}$$

where U is a reference wind shear. Equivalently, the background meridional moisture gradient is proportional to the temperature gradient by the Clausius-Clayperon coefficient C. Classic dry

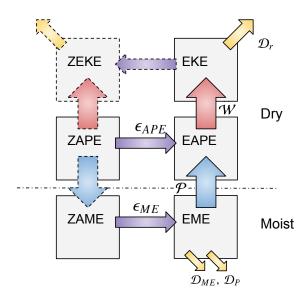


Fig. 2. A modified Lorenz cycle for the MQG system. In the classical dry Lorenz cycle of a homogeneous two-225 layer QG system, depicted above the dot-dashed line, Eddy Available Potential Energy (EAPE) is generated when 226 the downgradient flux of the thickness  $(\varepsilon_{APE})$  converts the zonally-averaged ZAPE into EAPE, respectively. The 227 EAPE is converted into EKE through vertical motions and lost through Ekman Dissipation ( $\mathcal{D}_r$ ). The dashed 228 borders indicate terms that would be included in the full Lorenz cycle, but do not impact in our QG model. 229 Moisture modifies this cycle through the injection of precipitation  $\mathcal{P}$  into the APE. However, this transfer 230 accounts for only a portion of the EME generated from the ZAME by the downgradient flux of the condensation 231 thickness ( $\varepsilon_{ME}$ ). The remainder of the EME is lost through small scale-diffusion  $\mathcal{D}_{ME}$  and eddy precipitation 232 dissipation  $\mathcal{D}_P$ . These losses reduce the mechanical efficiency of the full moist system.

baroclinic theory predicts unstable growth when the criticality  $\xi$  exceeds a critical value, i.e.,

$$\xi \equiv \frac{U}{\lambda^2 \beta} > 1. \tag{11}$$

The saturated theory predicts unstable growth based on a saturated criticality,

$$\mu_s \xi \equiv \mu_s \frac{U}{\lambda^2 \beta} > 1. \tag{12}$$

224 a. Incorporating Moist Energy into the Lorenz cycle

As in Brown et al. (2023), we split the energy cycle of the MQG system into three parts: (1) 234 kinetic energy, proportional to  $|\vec{u}_1|^2 + |\vec{u}_2|^2$ , (2) APE, proportional to  $|\eta|^2$  and (3) ME, proportional to  $|\eta_c|^2$ . A modified Lorenz cycle for the energetics of the MQG system, constructed conceptually 236 from exchanges between zonal mean and eddy flow, is depicted schematically in Figure 2. The 237 classic dry Lorenz cycle is contained above the dot-dashed line. A zonally averaged APE is determined by the prescribed meridional gradient of the interface,  $\overline{\eta}_v = -U\lambda^{-2}$ . Downgradient 239 mixing generates EAPE at rate  $\varepsilon_{APE}$  and converts it into EKE through vertical motion W. This 240 EKE is lost at large scales due to Ekman dissipation  $\mathcal{D}_r$ . In a full Lorenz cycle, EKE is converted into a zonally averaged ZKE which reduces the ZAPE in the isentropic average by redistributing 242 the large-scale meridional temperature gradient. Because a background state ZKE is prescribed 243 in our homogeneous QG setup, these components are included only for reference via the dashed 244 arrows.

The ME component, below the dashed line, accounts for contributions to latent heat release.

We construct the domain-averaged EKE equation by multiplying Equations (1) and (2) by their respective streamfunction perturbation, averaging, and taking the sum. Similarly, the domain-averaged dry EAPE equation is obtained by multiplying Equation (3) by the interface perturbation  $\eta'$  and a constant  $g^*/2H$  and the domain-averaged EME equation by multiplying Equation (4) by the condensation level perturbation  $\eta'_c$  and a constant  $g^*(\mu_s - 1)/2H$ , and then averaging and summing over the two levels. This yields

$$\partial_t EKE = +W - \mathcal{D}_r \tag{13}$$

$$\partial_t \text{EAPE} = +\varepsilon_{APE} - \mathcal{W} + \mathcal{P} \tag{14}$$

$$\partial_t \text{EME} = +\varepsilon_{ME} - \mathcal{P} - \mathcal{D}_{ME} - \mathcal{D}_P,$$
 (15)

where the script terms are defined in Table 1. The EKE receives injections from vertical motions W near the Rossby deformation radius and dissipates energy at the largest scales through the Ekman term  $\mathcal{D}_r$ . The energy injection from vertical motion corresponds to a reduction in the APE. APE is generated from the meridional sensible heat flux  $\varepsilon_{APE}$  and precipitation injection  $\mathcal{P}$ . The key modification from the dry cycle is in the precipitation term  $\mathcal{P}$ , which converts ME into APE.

With the inclusion of precipitation, the APE scales with the total EKE, like the MAPE of e.g.,
Lorenz (1978); O'Gorman and Schneider (2008).

As in Brown et al. (2023), the ME is constructed from a quadratic of the condensation thickness to isolate the precipitation conversion. EME is generated by the condensation thickness flux  $\varepsilon_{ME}$ .

Like the sensible heat flux, this term redistributes the planetary scale gradient of the condensation thickness. At full saturation, MQG systems fully convert the condensation thickness flux into EAPE through precipitation. We will show that partially saturated systems convert only a fraction of the condensation thickness flux into EAPE.

The two final terms on the right hand side of Equation (15) characterize pathways for the loss of EME that do not produce available potential energy:  $\mathcal{D}_{ME}$  and  $\mathcal{D}_{P}$ . The first quantifies the irreversible, small-scale diffusion of moisture, given by

$$\mathcal{D}_{ME} = \frac{g^* \left(\mu_s - 1\right)}{2H} \nu \left| \overline{\nabla^4 \eta_c'} \right|^2. \tag{16}$$

In equilibrated dry systems where moisture is a passive tracer, this is the only means of removing EME. As an eighth-order diffusion term, this term dominates at small scales. Small-scale diffusion is therefore largest in flows where a strong forward cascade results in substantial convergence of moisture to scales smaller than the deformation radius. In the saturated case, this term is negligible because precipitation terminates the forward cascade at scales larger than the diffusion scale (Brown et al. 2023).

The second pathway for the loss of EME in partially saturated systems is *eddy precipitation* dissipation,

$$\mathcal{D}_P = \frac{g^*}{2H} \overline{\mathcal{L}P'(\eta_c' - \eta')}.$$
 (17)

The nonlinearity of the precipitation term complicates the impact of this dissipation on the EME, as precipitation only occurs in the regions where the eddy surplus exceeds the domain mean deficit denoted by the subscript 0, i.e.,

$$\eta_c' - \eta' > \eta_0 - \eta_{c,0}.$$
 (18)

280 The eddy surplus is thus constrained by

$$\eta_c' - \eta' \le \frac{\tau P}{1 + C} - \eta_{c,0} + \eta_0,$$
(19)

| EKE                 | $(u_1'^2 + u_2'^2)/2$   | Eddy Kinetic Energy                    |  |
|---------------------|---|--|--|
| EAPE                | $g^*\overline{ \eta' ^2}/2H$                                      | Eddy Available Potential Energy        |  |
| EME                 | $g^*(\mu_s-1)\overline{ \eta_c' ^2}/2H$                           | Eddy Moist Energy                      |  |
| $\mathcal{D}_r$     | $r \overline{u_2'^2} ^2$  | Ekman Dissipation                      |  |
| W                   | $f_0 \overline{W' \eta'}/H$                                       | APE to EKE Injection (Vertical Motion) |  |
| $\varepsilon_{APE}$ | $-g^*\overline{\eta}_y\overline{v_2'\eta'}/2H$                    | Sensible Heat Flux                     |  |
| $\varepsilon_{ME}$  | $-g^* (\mu_s - 1) \overline{\eta}_y \overline{v_2' \eta_c'} / 2H$ | Condensation Thickness Flux            |  |
| $\mathcal{P}$       | $g^*\mathcal{L}\overline{P'\eta'}/2H$                             | ME to APE Injection (Precipitation)    |  |
| $\mathcal{D}_{ME}$  | $g^* (\mu_s - 1) \nu  \overline{\nabla^4 \eta_c' ^2}/2H$          | High-order diffusion                   |  |
| $\mathcal{D}_P$     | $g^*\mathcal{L}\overline{P'(\eta'_c-\eta')}/2H$                   | Precipitation dissipation              |  |

Table 1. Generation, transfer, and dissipation terms for the Kinetic Energy and Moist Available Potential Energy.

Because equality occurs in precipitating regions, we multiply both sides by the precipitation P and take the domain average to obtain

To determine the sign of this term, let us consider the perturbation and domain average terms

$$\overline{P'(\eta'_c - \eta')} = \frac{\tau \overline{P'^2}}{1 + C} + \frac{\tau P_0^2}{1 + C} - P_0(\eta_{c,0} - \eta_0)$$
(20)

separately. The first term on the right-hand side is a quadratic of the precipitation anomaly and 284 only removes EME. The domain average of Equation (19) implies that the remaining terms are in 285 combination greater than zero, making  $\mathcal{D}_P$  a sink of EME. 286 In both the dry and saturated limit,  $\mathcal{D}_P$  vanishes. In the dry limit, there is no precipitation, and 287 therefore no precipitation dissipation. In the saturated limit, moisture and temperature are perfectly 288 correlated, so  $\eta'_c - \eta' = 0$ . In partially saturated systems, moisture and temperature anomalies are 289 not correlated, so precipitation dissipation removes EME and reduces the total conversion to 290 EAPE. This selectively flattens positive moisture anomalies, resulting in an asymmetric reduction 291 in moisture variance and a shift to a larger average moisture deficit.

## b. Dry and Saturated Limits

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The above dynamical system has two limiting cases. In the dry limit, moisture acts as a passive tracer, mixed by turbulent dynamics to the diffusion scale. In the saturated limit, strong evaporation and fast precipitation adjustment times results in a system that is raining everywhere and rapidly adjusts the moisture profile to the saturation value set by the Clausius-Clayperon relation. The dry limit is achieved under the condition E = 0.0 after sufficient simulation time for the initial

distribution of water vapor to reach a statistically equilibrium state. Brown et al. (2023) showed that the saturated limit is achieved in this system in the limit of high evaporation ( $E = 1000U^2m_0/f_0\lambda^2$ ) and fast precipitation relaxation time ( $\tau = 0.00125\lambda/U$ ). This saturated limit behaved as the dry limit with shorter length and faster time scales, characterized by powers of  $\mu_s$ . Hence the saturated system exhibits significantly faster eddy growth, smaller scale instabilities and overall higher EKE compared with the dry case. In both limits, downgradient heat fluxes are converted into EKE with near perfect efficiency.

Partially saturated systems exhibit reduced mechanical efficiency compared with both saturated and dry systems. The dissipation terms described in the previous section, which were negligible in the saturated case, become quite significant in the partially saturated case. We explore the transition from the dry limit to the saturated by considering systems with intermediate evaporation rates, so that precipitation occurs, but only locally. This localization creates a non-linearity such that moisture is neither a fully passive tracer (as in the dry case) nor correlated with temperature (as in the saturated case). We expect the partially saturated case to act as a combination of the dry and saturated cases.

### 314 c. Numerical Experiments

Our experiments bridge the gap between the moisture gradient sweeps in the partially saturated (Lapeyre and Held 2004) and saturated (Brown et al. 2023) cases. We fix the moisture and temperature gradients while varying the evaporation rate E to adjust the degree of saturation, i.e., the portion of the domain that is precipitating. Increasing the evaporation rate also increases the domain-averaged relative humidity of the system.

Experiments are done on the same system as in Brown et al. (2023). A complete list of the nondimensional parameters used is in Table 2. The domain size is  $L = 18\pi\lambda$ , with timesteps of size  $dt = 0.00025\lambda/U$ . Small-scale dissipation  $v = 10^{-7}\lambda^7 U$  is chosen to avoid damping small-scale energy generation associated with moist effects on the scales of instability. The precipitation timescale  $\tau = 5dt$  is chosen to enforce rapid adjustment, and Ekman damping  $r = 0.16U\lambda^{-1}$  is in line with the value used byHeld and Larichev (1996). The values of the dry criticality  $\xi$  are chosen to be near the Earth-like value of 1. The values of  $\mu_s$  are informed by the range of realistic season- and hemisphere-averaged values. We used simulations with C = 2.0 and  $\mathcal{L} = .2, .35, .5$ ,

| Parameter    | Expression                       | Realistic     | Represents              | Simulation Values                           |
|--------------|----------------------------------|---------------|-------------------------|---|
| ξ            | $\frac{U}{\beta\lambda^2}$       | 1             | Dry Criticality         | 0.8, 1.0, 1.25                              |
| $\mu_s$      | 1+CL<br>1-L                      | ≈ 1.75 – 2.62 | Gross Moist Stability   | 1.75, 2.62, 4                               |
| E            | $\frac{f_0\lambda^2}{U^2m_0}E^*$ | 0.4           | Evaporation Rate        | $(0,1,2,5) \times (10^{-1},10^0,10^1,10^2)$ |
| $\mathcal R$ | $\frac{r\lambda}{U}$             | .16           | Ekman damping           | .16   |
| $	au^*$      | $\frac{\tau U}{\lambda}$         | < .15 – .85   | Precipitation timescale | 0.00125                                     |
| $L/\lambda$  | $L/\lambda$                      | N/A           | Domain size             | $18\pi$                                     |
| dt           | $\frac{\Delta t U}{\lambda}$     | N/A           | Timestep                | 0.00025                                     |
| $\nu^*$      | $U\lambda^7\nu$                  | N/A           | Small scale dissipation | 10 <sup>-7</sup>                            |

Table 2. Tunable parameter space (nondimensionalized), realistic values, and the values used in the simulations. Here,  $E^*$  is the dimensional evaporation parameter, and E is the nondimensionalized parameter.

corresponding to  $\mu_s = 1.75, 2.62, 4.0$ . This roughly corresponds with a northern hemisphere winter,

northern hemisphere summer, and a higher moisture gradient. An additional run with C = 0.0 and 329  $\mathcal{L} = 0.75$  with  $\mu_s = 4.0$  was performed to confirm that simulations with the same value of  $\mu_s$  behave 330 similarly for the metrics we use. 331 We chose the range of the moisture gradient based on a number of factors. First, on local scales, 332 such as in the warm sector of surface cyclones (e.g., Emanuel 1985), latent heat release can fully 333 overcome the dry static stability of the atmosphere, i.e.  $\mathcal{L} \to 1$ ,  $\mu_s \to \infty$ . Second, the moisture 334 stratification and meridional gradients are expected to increase in warmer climates. Third, idealized 335 models corresponding to  $\mu_s > 3.33$  have exhibited a transition to a vortex-dominated regime (e.g., 336 Kohl and O'Gorman 2022), so higher moisture stratification may indicate a different regime of 337 instability. The evaporation is widely varied for the purposes of a parameter sweep, ranging from an 338 essentially dry case (E=0.0) to a value that is nearly saturated in all of our experiments (E=100.0). 339

Varying the evaporation rate requires a comparable adjustment of the radiative cooling rate to

## 4. On the Efficiency of Conversion of ME to KE by Precipitation

maintain energy balance at large scales.

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In midlatitude systems, both sensible and latent heat are mixed downgradient by eddy fluxes.

This results in a distribution of both across a wide range of scales. A key feature distinguishing the impact of latent heat from sensible heat is that not all of the water vapor that is moved poleward by the atmosphere is condensed at higher latitudes, and therefore only a portion of the latent heat transport ultimately generates EKE.

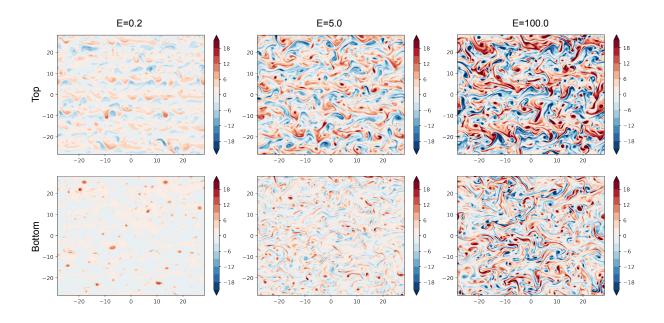


Fig. 3. Snapshots of the top and bottom layer relative vorticity for  $\xi = 0.8$ ,  $\mu_s = 4.0$ , with varying evaporation (labeled in each column).

In MQG, varying the evaporation rate modifies the amount and distance over which water vapor is displaced before being converted into sensible heat. Figure 3 shows the impact in simulations with  $\xi = 0.8$ ,  $\mu_s = 4.0$ . At low evaporation (E = 0.2), the upper-level flow is organized into seven narrow jets, while the low-level flow exhibits a few intense cyclonic vortices amidst a backdrop of weak PV anomalies. At high evaporation (E = 5.0), the upper-level flow organizes itself into five jets and the low-level flow begins to exhibit nearer symmetry in the distribution of cyclonic and anticyclonic extremes. The saturated limit is approached in the limit of extreme evaporation (E = 100.0). The upper-level flow organizes into three jets and the low-level flow features a number of extreme cyclone and anticyclone anomalies.

Figure 4 shows the time and domain averaged EKE as a function of evaporation rate for several parameter sweep experiments with varying dry and moist criticality. In general, the EKE increases with the evaporation rate. Near E = 10, EKE converges to a maximum value for the experiments where  $\xi = 1.25$ ,  $\mu_s = 1.75$  and  $\xi = 0.8$ ,  $\mu_s = 1.75, 2.62$ , corresponding to the transition to the saturated limit. We expect that a similar convergence would occur for all moisture and temperature gradients at sufficiently high evaporation. This reflects the fact that a more turbulent atmosphere acts as a more efficient atmospheric dehumidifier. Consequently, systems with a higher saturated

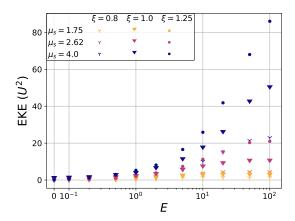
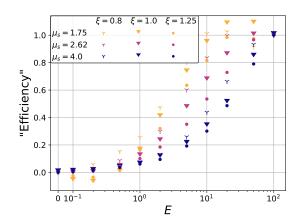


Fig. 4. The total EKE of the system as a function of evaporation, for varying combinations of the dry criticality  $\xi$  and gross moist stratification  $\mu_s$ .



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Fig. 5. An empirical estimate for the mechanical efficiency as a function of evaporation.

criticality  $\mu_s \xi$  require a higher evaporation rate to achieve saturation. The saturation value of E depends more strongly on the gross moisture stratification  $\mu_s$ , than on the dry temperature gradients, characterized by  $\xi$ .

In each set of evaporation sweeps, EKE increases significantly from the dry limit to the E = 100 experiment. In the systems with the highest gross moisture stratification, the saturated systems have  $\sim 100$  times the EKE of the corresponding dry simulation. The sweep with  $\xi = 1.25$ ,  $\mu_s = 1.75$  exhibits the smallest increase in EKE from dry to saturated, with only a factor of  $\sim 3$  increase. We use the saturated limit studied in Brown et al. (2023) to quantify the EKE of a perfectly efficient moist system. Then, the mechanical output of a partially saturated system relative to the saturated

limit can be used to characterize how efficiently it can convert ME into EKE. As a crude metric to capture the "moist efficiency", we compare the EKE of a given system with the value in the dry and saturated limits, i.e.,

"Efficiency" = 
$$\frac{EKE - EKE_0}{EKE_{100} - EKE_0}.$$
 (21)

Here, EKE<sub>0</sub> is the EKE at E = 0 and EKE<sub>100</sub> is the EKE at E = 100, holding the temperature and moisture stratification constant.

Figure 5 shows the distribution of our efficiency metric as a function of evaporation. By definition, this metric enforces zero efficiency in the dry limit (where there is no net latent heat transport) 385 and perfect efficiency for E = 100, although not all configurations have fully reached the saturated 386 limit with E = 100. The spread between the curves in Figure 5 shows that evaporation alone is not sufficient to predict the efficiency of a moist system. The amount of evaporation needed to achieve 388 near-perfect efficiency increases with both the temperature and moisture gradients. Furthermore, 389 a few of the systems with low moisture stratification ( $\mu_s = 1.75$ ,  $\xi = 1.0, 1.25$ ) exhibit a negative "efficiency" at low evaporation; here, a small amount of evaporation actually reduces the EKE 391 output relative to the dry limit. These results emphasize that impact of changes to the surface latent 392 heat flux depend on the temperature ( $\xi$ ) and moisture ( $\mu_s$ ) structure. 393

## 5. Generation, Loss and Conversion of Moist Available Potential Energy

We now more rigorously characterize the moist conversion efficiency of a geostrophic system, seeking to explain how E,  $\mu_s$ , and  $\xi$  cause the efficiency to vary. Per the energetic framework of Section 3a, we identify three processes governing the impact of latent heat on EKE in the MQG system: (1) the *conversion* from EME to EAPE through precipitation, (2) the *generation* of ME through the meridional flux of sensible and latent heat, and (3) the *loss* of EME through diffusion and precipitation dissipation. We quantify the first process by the conversion ratio of the diabatic forcing to the sensible heat flux

$$r_{\rm con} = \frac{\langle \mathcal{P} \rangle}{\langle \varepsilon_{APE} \rangle}.$$
 (22)

Parker and Thorpe (1995) and Moore and Montgomery (2005) argued that baroclinic growth dominates in systems where this ratio is much less than one, while diabatic effects dominate when

the ratio is greater than one. In MQG, the conversion ratio goes to zero in the dry limit

$$\lim_{E \to 0} r_{\rm con} = 0$$

In the saturated limit, Brown et al. (2023) showed that this ratio converges to

$$\lim_{E \to \infty} r_{\text{con}} = \mu_s - 1. \tag{23}$$

As a starting point in our discussion of the energetics, we explore how the conversion ratio changes with surface evaporation rate.

Figure 6a plots the conversion ratio as a function of evaporation. In the saturated limit, this ratio 411 converges as predicted to  $\mu_s - 1 \approx 1.62$  and 0.75 for  $\mu_s = 2.62$  and 1.75, respectively, but does not reach the predicted value of 3.0 for  $\mu_s = 4.0$ , consistent with these systems remaining only partially 413 saturated even for very high evaporation rate. Increasing evaporation generally increases the 414 portion of APE generated by precipitation. This transition is sharpest in the case with sub-critical 415 baroclinicity and high moisture gradient ( $\xi = 0.8$ ,  $\mu_s = 4.0$ ) between E = 0 and E = 0.1, where only a small evaporation rate results in precipitation accounting for ~60% of the APE generation. In 417 comparison, the same evaporation rate and moisture stratification in the  $\xi = 1.25$  case results in a 418 system with precipitation accounting for  $\sim 30\%$  of the APE generation. The  $\xi = 1.0$  case has an evaporation dependency more similar to the  $\xi = 1.25$  case for small E, indicating that the presence 420 of even a small amount of moisture has a much more significant effect under conditions that would 421 be stable in a dry simulation. 422

This large increase in conversion ratio in the low baroclinicity, high moisture ( $\xi = 0.8$ ,  $\mu_s = 4.0$ )
experiment is reminiscent of the results of Kohl and O'Gorman (2022), where Diabatic Rossby
Vortices were found to exhibit the greatest unstable growth in the presence of weakened potential
vorticity gradients with a sufficient reduction in static stability. An equivalent configuration in
MQG would predict the strongest Diabatic Rossby Vortices for  $\mu_s > 3.33$ ,  $\xi < 1.0$ . It is possible
that such a mechanism contributes to the sharp increase in conversion ratio at low evaporation rates.
Indeed, the low level vorticity shown in Figure 3 exhibits isolated vortices that are qualitatively
consistent with this interpretation.

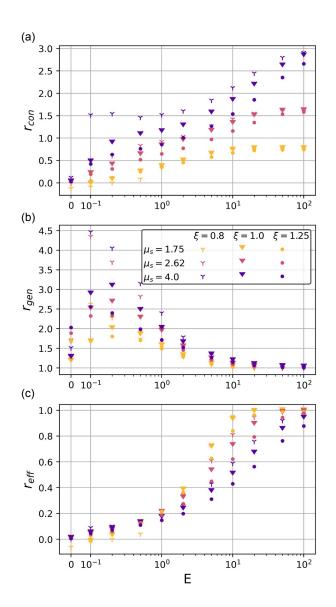


Fig. 6. (a) The conversion ratio  $r_{\rm con} = \langle \mathcal{P} \rangle / \langle \varepsilon_{APE} \rangle$ , (b) the generation ratio  $r_{\rm gen} = D_m/D_d = \langle \varepsilon_{APE} + \varepsilon_{ME} \rangle / \mu_s \langle \varepsilon_{APE} \rangle$ , (c) the moist conversion efficiency  $r_{\rm eff} = \langle \mathcal{P} \rangle / \langle \varepsilon_{ME} \rangle = r_{\rm con} / (\mu_s r_{\rm gen} - 1)$ , all versus evaporation constant E.

The generation of both APE and ME relates to the downgradient transport of sensible and latent heat. In a dry system, this is characterized by the turbulent diffusivity of the sensible heat across the inertial range of the inverse cascade, which directly predicts the total generation of EKE (e.g., Held and Larichev 1996). This concept can be extended for any quantity that acts as a passive tracer within an inertial range (Smith et al. 2002). In the MQG system, we define the dry diffusivity

436  $D_d$  and moist diffusivity  $D_m$  by

$$D_d = \frac{\overline{v_2' q_{bc}'}}{\overline{q_{bc}}_y} = \frac{\langle \varepsilon_{APE} \rangle}{U \lambda^{-2} g^* / 2H}$$
 (24)

$$D_{m} = \frac{\overline{v_{2}'q_{m}'}}{\overline{q_{m_{y}}}} = \frac{\langle \varepsilon_{APE} + \varepsilon_{ME} \rangle}{\mu_{s}U\lambda^{-2}g^{*}/2H}.$$
 (25)

Here, v' represents the meridional barotropic velocity anomaly and  $q_{bc}$  represents the baroclinic potential vorticity. The moist potential vorticity  $q_m$  is defined as in Brown et al. (2023) as

$$q_m = \zeta_{bc} - \frac{f_0}{H} \left[ \eta + (\mu_s - 1) \eta_c \right]. \tag{26}$$

We define a generation ratio as the ratio between the diffusivity for moist potential vorticity and that of the dry potential vorticity:

$$r_{\rm gen} = \frac{D_m}{D_d} = \frac{\langle \varepsilon_{APE} + \varepsilon_{ME} \rangle}{\mu_s \langle \varepsilon_{APE} \rangle}.$$
 (27)

Figure 6b plots the generation ratio as a function of evaporation rate. In the saturated limit, this 441 ratio converges to 1, indicating that humidity and temperature have proportionate diffusivity at 442 saturation. Equivalently, Brown et al. (2023) showed that at saturation,  $\langle \varepsilon_{ME} \rangle / \langle \varepsilon_{APE} \rangle = \mu_s - 1$ . At lower evaporation rates, the moist diffusivity is much higher than the dry diffusivity, increasing until near the dry limit. This portion increases as the dry criticality  $\xi$  decreases, and as the 445 moisture gradient parameter  $\mu_s$  increases, peaking at either E = 0.1 or E = 0.2 in all configurations we tested. Systems with low evaporation (0.0 < E < 0.5), low baroclinicity ( $\xi$  = 0.8), and high 447 moisture stratification ( $\mu_s \ge 2.62$ ) exhibit substantially higher generation ratios, indicating that 448 latent heat accounts for a large portion of the heat transport in these systems. At higher evaporation rates, the configuration of the flow changes to a more wavelike pattern, qualitatively similar to 450 classic baroclinic instability. Indeed, the high conversion ratio at low evaporation may result 451 from isolated diabatic vortices that do not contribute much to the barotropic energy cascade, and 452 consequently do not drive an increase in the sensible heat flux. At very high evaporation, the system transitions to a more symmetric distribution of cyclones and anticyclones, which more 454 easily generate an elongated cascade and large-scale sensible heat fluxes. 455

The low evaporation cases present an interesting contrast: even though they are very efficient at moving moisture, as characterized by the generation ratio  $r_{\rm gen}$ , this enhanced moisture transport does not result in a large increase in the generation of kinetic energy, as measured by the low value of the conversion ration  $r_{\rm con}$ . We further quantify this discrepancy in terms of a moist conversion efficiency  $r_{\rm eff}$ , capturing the portion of EME converted into EAPE, as the ratio of the precipitation conversion to the total EME generation by the meridional flux:

$$r_{\text{eff}} = \frac{\langle \mathcal{P} \rangle}{\langle \varepsilon_{ME} \rangle} = \frac{r_{\text{con}}}{\mu_s r_{\text{gen}} - 1}.$$
 (28)

This loss ratio captures the transition from dry to moist geostrophic turbulence most dramatically,
as it gradually increases from 0 - meaning that most of the EME is never converted into EKE to 1 in the saturated limit, where all the EME is converted into EKE. In a few cases with low
evaporation and low moisture stratification, this term is negative, indicating that precipitation has
a net negative effect on the APE. This feature distinguishes the moist conversion efficiency from
traditional metrics of mechanical efficiency.

The results of Figure 6b and a indicate that in partially saturated systems, only a fraction of the

The results of Figure 6b and c indicate that in partially saturated systems, only a fraction of the EME is converted into APE. Equation (15) indicates that the generation of EME by the meridional energy transport  $\varepsilon_{ME}$  is additionally removed through:

- 1. Small-scale diffusion of moisture  $\mathcal{D}_{ME}$ , which dominates in dry turbulent systems
- 2. Eddy precipitation dissipation  $\mathcal{D}_P$ , which occurs in partially saturated systems

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Figure 7 shows the time-and-domain-averaged values of each sink term and the moisture con-476 version efficiency across the range of experiments. At the dry limit, moisture acts as a passive 477 tracer in most of the domain, and hence the small scale diffusion  $\mathcal{D}_{ME}$  dominates the removal of ME, except in subcritical systems that never fully equilibrate in our experiments (e.g.  $\xi = 0.8$ ,  $\mu_s = 1.75$ ). For some simulations with low evaporation (e.g.  $\xi = 0.8$ ,  $\mu_s = 1.75$  and E = 0.1), 480 precipitation acts as a small source of ME, but a net sink of APE. Typically, precipitation acts as 481 a sink of APE at larger scales, arising from the tendency for the poleward transport of moisture to produce precipitation poleward of the jet and flatten the temperature gradient. Crucially, the 483 small-scale diffusion  $\mathcal{D}_{ME}$  requires sufficiently strong turbulence for the cascade to mix anomalies 484 in the condensation thickness to the diffusion scale.

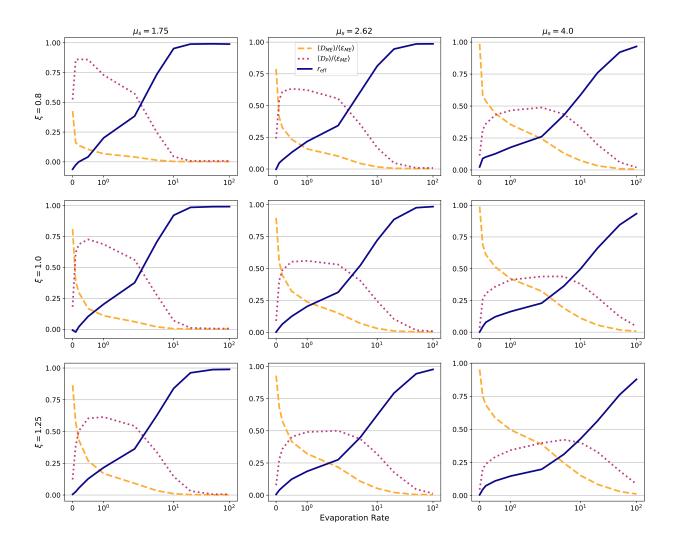


Fig. 7. The portion of generated EME lost to (a) small-scale diffusion (light dashed line), (b) precipitation dissipation (pink dotted line), and (c) the moisture conversion efficiency  $r_{\text{eff}}$  (dark blue solid line), which captures conversion to APE via precipitation.

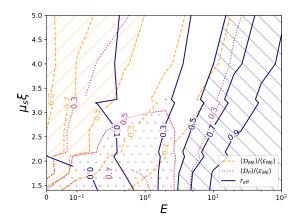
The precipitation dissipation,  $\mathcal{D}_P$ , is a significant sink of EME at intermediate evaporation rates. This is most significant in the simulations that are subcritical in the dry scenario, where  $\mathcal{D}_P$  accounts for ~90% of the loss in the  $\xi = 0.8$ ,  $\mu_s = 1.75$ , E = 0.1, 0.2 simulations. For evaporation rate sweeps at higher dry criticality with  $\mu_s = 1.75$ , precipitation dissipation is strongest at higher evaporation rates (E = 0.5 and E = 1.0 for E = 1.0, 1.25, respectively) and accounts for a smaller portion of the EME loss (~70% and 60% for E = 1.0, 1.25, respectively). A similar shift occurs when increasing moisture stratification. In the simulation with the steepest moisture and temperature gradients (E = 1.25, E =

Small-scale diffusion compensates for the reduction, and consequently the moisture conversion efficiency is also smaller than simulations with the same evaporation but shallower temperature and moisture gradients.

Eddy precipitation dissipation also explains why low baroclinicity ( $\xi = 0.8$ ), low evaporation (0.0 < E < 0.5) simulations have significantly different conversion ratios despite similar generation 498 ratios. In particular, precipitation dissipation accounts for more than half of the loss of EME 499 when  $\mu_s \le 2.62$ . For the  $\mu_s = 4.0$  simulations, precipitation dissipation is smaller and moisture conversion efficiency is larger, with small-scale diffusion as the dominant source of inefficiency. 501 As the midlatitude atmosphere corresponds to a MQG system with moist stability of about 1.7 502 to 2.6, precipitation dissipation may play a significant role in regulating the scale distribution of 503 moisture on Earth. With higher moisture stratification, small meridional displacements of moist 504 air generate highly localized latent heat release within a domain that is largely sub-saturated. This 505 mixes moisture to smaller scales, further favoring localized latent heat release. In systems with 506 lower moisture stratification, moisture must be transported further before latent heat is released. In systems with steeper temperature gradients, baroclinic instability increases the downgradient flux 508 of sensible heat, decreasing both the conversion and generation ratios. 509

## 6. Discussion

We now attempt to more broadly characterize the energetics as a function of all key parameters. Figure 8 plots isolines of each loss mechanism as a function of evaporation and effective saturated 518 criticality. Small-scale diffusion tends to dominate at high saturated criticality and low evaporation. 519 Precipitation dissipation dominates at lower saturated criticality and intermediate evaporation. Isolines of small-scale diffusion are steepest at low evaporation and become more shallow as 521 evaporation increases. Isolines of precipitation dissipation are steepest at low evaporation and 522 between E = 2 and E = 5, with a region of intermediate evaporation where the slope of isolines 523 is near zero. For larger values of E, isolines of moisture dissipation have a shallower slope with increasing E. Moisture conversion efficiency,  $r_{\text{eff}}$ , is negative for low evaporation and small 525 saturated criticality (bottom left corner): latent heat release is a net sink of APE in this region of 526 parameter space.



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Fig. 8. Approximate isolines of the relative contribution of each EME sink as a function of the saturated criticality  $\mu_s \xi$  and evaporation rate E. In the hatched regions, a single process accounts for more than half of the EME loss. Small-scale diffusion (yellow) dominates at low evaporation and high saturated criticality. Precipitation dissipation (pink) dominates at intermediate evaporation and low saturated criticality. Moisture conversion efficiency (blue) approaches 1 at very high evaporation, with more evaporation required for higher saturated criticality.

We define three limiting regimes based on the moisture conversion efficiency and the dominant mechanism generating inefficiency:

- 1. Regime 1, a "dry" regime corresponding to low evaporation rates and higher saturated criticality. Here, small-scale diffusion  $\mathcal{D}_{ME}$  dominates the loss of ME and the system has low moist conversion efficiency. In Figure 8, this occurs in the yellow-hatched regions.
- 2. Regime 2, corresponding to intermediate evaporation rates and lower saturated criticality. Here,  $\mathcal{D}_P$  dominates the loss of ME and the system has intermediate moist conversion efficiency. In Figure 8, this occurs in the dotted pink region.
  - 3. Regime 3, a "saturated" regime corresponding to high evaporation. Here, almost all generated EME is converted into EAPE through precipitation  $\mathcal{P}$ . The system is therefore highly efficient at converting moisture into EKE. In Figure 8, the system approaches this limit in the blue-hatched region.

The three limiting regimes do not cover all of parameter space. In the middle of Figure 8, regions without hatching, the flow exhibits features of all three regimes, and cannot be characterized by a single dominant process.

To gain insight into the dynamical implications of each regime, we modify turbulence theory to take into account the non-linearity of precipitation. If moisture behaves purely as a passive tracer 544 (as is nearly achieved in Regime 1), the turbulent flow mixes moisture downgradient, generating 545 variance in the moisture deficit. A more turbulent flow, corresponding to a higher value of  $\xi$ , generates larger variance due to the stronger forward cascade. This accounts for the diagonal tilt of 547 the lines delineating different regimes. Because the "dry-like" regime allows the forward cascade 548 of moisture to continue to the diffusion scale without precipitation disrupting the cascade near the Rossby scale (not shown), there is a large variance in the moisture distribution down to very small 550 scales. This regime thus favors small scale precipitation anomalies that lead to vortices like those 551 found in the left column of Figure 3. 552

In Regime 2, precipitation dissipation becomes the dominant sink of EME. The condensation process selectively removes moisture surpluses, introducing skewness to the distribution and
decreasing the mean and variance moisture deficit. This regime contains many instances of precipitation having a negative contribution to the EAPE and a positive contribution to the EME. A large
precipitation dissipation term more than counteracts the positive forcing of precipitation in the
EME, and thus precipitation in this regime results in a loss of EAPE without a corresponding gain
in EME. In Regime 3, the system begins to behave more similarly to the saturated limit discussed in
Brown et al. (2023), domninated by precipitation-driven exchanges between the EME and EAPE.

### 561 a. Turbulent Mixing and Relative Humidity

The energetic output of MQG is governed by the competition between the generation of moisture variance by turbulent processes and its removal by moist processes. The reduced impact of moisture diffusion in more saturated systems suggests that precipitation halts the forward cascade when sufficient moisture is available. We use this observation in conjunction with turbulence theory to demonstrate how the moisture conversion efficiency relates to the relative humidity.

Let us assume that the moisture deficit is Gaussian in its distribution, with mean value

$$d_0 = \langle \eta_{c,0} - \eta_0 \rangle, \tag{29}$$

and variance

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$$\sigma_d = \left\langle \overline{(\eta_c' - \eta')^2} \right\rangle^{1/2},\tag{30}$$

defined by the RMS deficit perturbation. Condensation occurs in the regions where  $\eta_c - \eta > 0$ . We 569 quantify this portion of the domain by  $\alpha \approx \int_0^\infty \phi(x, d_0, \sigma_d) dx$ , where  $\phi(x, d_0, \sigma_d)$  is the normal 570 distribution of the deficit  $x = \eta_c - \eta$  with mean value  $d_0$  and standard deviation  $\sigma_d$ . Intuitively, the 571 value of this integral depends on the parameter  $d_0/\sigma_d$ . When a smaller fraction of the domain is 572 saturated,  $d_0/\sigma_d$  is more negative and the average relative humidity is lower. 573

The dominant mechanisms of EME loss are strongly correlated with  $d_0/\sigma_d$ , as shown in Figure 9. 577 This diagnostic parameter allows us to collapse the integrations onto single curves, although less perfectly for lower values of  $\mu_s$ . For low values below -1.5, the mean relative humidity approaches 579 0 and the system is effectively dry with precipitation as a rare event (regime 1). Regime 2, 580 dominated by precipitation dissipation, appears for values between -1 to -.5. Here, the mean relative humidity is roughly one standard deviation from saturation, so precipitation is common, 582 but most of the domain remains subsaturated. As  $d_0/\sigma_d$  approaches 0, the system transitions to 583 the saturated regime 3 with relative humidity near 1 everywhere.

While the relative importance of precipitation dissipation and small-scale diffusion largely con-585 verge for similar values of the distribution parameter  $d_0/\sigma_d$ , the precipitation dissipation exhibits 586 a wide range. Smaller moisture  $\mu_s$  and temperature gradients  $\xi$  are correlated with larger precipi-587 tation dissipation. Indeed, the systems with the largest moisture stratification ( $\mu_s = 4.0$ ) never lose 588 a majority of the EME to precipitation dissipation, and instead occupy a regime where all three 589 sinks are of comparable size. In contrast, the simulations with the smallest moisture stratification ( $\mu_s = 1.75$ ) lose over 80% of their EME to precipitation dissipation. When the evaporation rate is also low, precipitation can have a net negative effect on the EAPE (the bottom left corner of 592 Figure 8). 593

The dynamics of the MQG system are determined by the size of the mean moisture deficit relative to the RMS deficit variance. The moisture deficit of the MQG system can be related to the relative

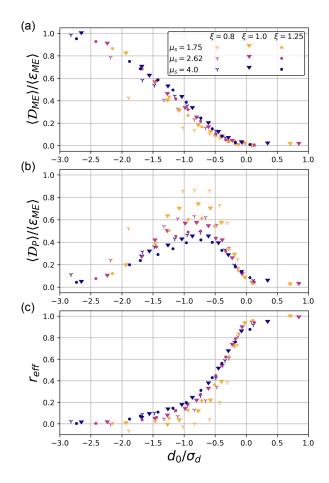


Fig. 9. The fractional loss of EME due to (a) small-scale diffusion, (b) domain-scale diffusion, and (c) precipitation conversion as a function of the ratio between mean deficit and the RMS deficit variance. Panel (c) is equivalent to the moisture conversion efficiency.

humidity of the atmosphere. Thus, relative humidity plays a critical role in setting the moisture conversion efficiency (Figure 9c): a drier atmosphere is less able to convert moisture gradients into eddy kinetic energy.

## 599 b. Climate Estimates for the Evaporation Constant

Where do our current and potential future climates fall within the parameter space of Figure 8? Lapeyre and Held (2004) estimate that realistic parameter values are near E = 0.4 and  $\mu_s \xi \in (1.75, 2.62)$ , the bounds corresponding to average winter and summer limits, respectively. Estimating changes under warming is difficult due to feedbacks between moist and dry processes.

- Nonetheless, we can synthesize the results of a few studies for a qualitative prediction of changes to the evaporation parameter.
- The evaporation constant E is defined as

$$E = \frac{f_0 \lambda^2}{U^2 m_0} E^*$$

$$= \frac{E^*}{m_0} \cdot \frac{\lambda^2 \beta}{U} \cdot \frac{f_0}{\beta U}$$

$$= \frac{1}{\tau_E} \cdot \frac{1}{\xi} \cdot \tau_{\zeta}.$$
(31)

In the third line, we have decomposed this constant into three terms:

- 1. The first term is the inverse of an evaporation timescale  $\tau_E = E^*/m_0$ . Following Held and Soden (2006), the evaporation rate  $E^*$  increases more slowly than Clausius-Clayperon, while the typical moisture content  $m_0$  scales with the Clausius-Clayperon relationship. In a warmer climate, we therefore expect this term to decrease.
- 2. The second term is the inverse of the criticality for dry baroclinic instability. Stone (1978) argues that the extratropical atmosphere adjusts to marginal criticality  $\xi \approx 1$ . If this remains the case in a warmer world, we would expect the super-criticality to remain unchanged. However, we note that this assumption neglects the impact of moist processes, which may generate moist baroclinic adjustment under dry configurations that would otherwise be stable. In this case, the criticality may decrease, slightly increasing E.
- 3. The last term is a vorticity advection timescale. Changes to this timescale are governed by changes to the wind shear *U*. Shaw and Miyawaki (2024) argue that the impact of moisture leads to an increase in the thermal wind, which predominantly impacts the fastest winds of the jet stream. If this reflects global changes to the mean wind shear, this timescale should decrease.
- We thus expect that in a warmer planet, the non-dimensional evaporation parameter decreases.
- 624 Counterintuitively, this indicates that the midlatiude dynamics will shift toward a more "dry"-like
- regime and precipitation will have a less positive, or possibly even negative impact on the EKE.
- <sup>626</sup> Crucially, though we expect the actual evaporation to increase modestly in a warmer world, the

increase is limited by the energy balance at the surface and cannot keep up with the increase in total moisture, which scales with the Clausius-Clapeyron relation. The primary drivers for this shift are the slowing down of the hydrological cycles (Held and Soden 2006) and the intensification of the thermal wind (Shaw and Miyawaki 2024). A more detailed study is necessary to rigorously quantify the effect.

#### 7. Conclusions

We demonstrated that the relative humidity of the atmosphere, as determined by the surface 633 evaporation rate, greatly impacts the intensity of midlatitude eddies. Building upon the energetic 634 framework of Brown et al. (2023) for the MQG equations, we analyzed the sensitivity of the generation of kinetic energy by geostrophic turbulence to the evaporation rate. We found that 636 as evaporation increases, moist geostrophic turbulence gradually transitions from a dry limit 637  $(E \to 0)$  characterized by low level of kinetic energy to a saturated limit  $(E \to \infty)$  with much 638 more intense turbulence. At low evaporation rates, systems with lower moisture stratification 639 exhibit a reduction in total energetic output compared with the dry limit, a result previously only 640 shown in non-homogeneous moist systems (e.g., Bembenek et al. 2020; Lutsko and Hell 2021). 641 Systems with higher moisture stratification remain at roughly the same energetic output. Further increases in evaporation lead to a rapid increase in EKE in all systems, with higher baroclinicity 643 and gross moisture stratification corresponding to a more rapid increase (Figure 4). As each system 644 approaches a saturated limit, the energetic output levels off. Systems with higher baroclinicity and gross moisture stratification require more evaporation to reach this limit. 646

The generation of kinetic energy by moist geostrophic turbulence is tied to the meridional transport of latent and sensible heat. By transporting moisture poleward, eddies extract ME from the background gradient and convert it into APE through precipitation. This conversion is inefficient in that only a fraction of ME is converted to kinetic energy. It becomes increasingly efficient as evaporation increases relative humidity, with all EME being converted into EKE in the saturated limit. Stronger turbulent dynamics reduce the efficiency of conversion, resulting in a tug-of-war on the total efficiency between competing processes of moisture availability and turbulent mixing. We defined a "moist conversion efficiency" by expanding upon existing metrics characterizing the relative contribution of dry and moist processes: the conversion ratio of Parker

and Thorpe (1995) and a generation ratio defined by the relative strength of the moist static energy flux to the dry static energy flux.

The inefficient conversion of ME to kinetic energy arises from the fact that EME is dissipated through small-scale diffusion and eddy-scale precipitation diffusion. The former dominates when the system is sufficiently turbulent (driving the elongation of the forward cascade of ME) and sufficiently dry (lest precipitation halt the cascade before the dissipation scale). The latter dominates when the system is roughly balanced between regions of saturation and deficit, such that ME is lost through the selective flattening of surplus anomalies by precipitation.

We showed that the dominant mechanism of ME loss is correlated with the ratio of the mean moisture deficit to the moisture deficit variability,  $d_0/\sigma_d$ , capturing the availability of moisture relative to the strength of turbulent mixing. When the mean deficit is large compared to the variance (with a ratio less than  $\sim -1.5$ ), precipitation is sparse and highly localized, leading to a system with mostly dry behavior but some localized storms. For ratios between -1 and -0.5, precipitation becomes more widespread, leading to a regime dominated by precipitation dissipation. As this ratio approaches 0, the crossover from a mean deficit to a surplus, the system approaches the saturated limit and most of the ME is converted into APE.

Our results indicate that diabatic processes play a large role in setting the scale distribution of energy in the atmosphere. Indeed, MQG may underestimate the size of that role. Notably, precipitation dissipation  $\mathcal{D}_P \propto \tau P^2$  vanishes in the limit  $\tau \to 0$ . A longer precipitation relaxation time scale would further decrease efficiency. Evaporation similarly dissipates EME. This term disappears with uniform evaporation (as considered in our idealized model), but more realistic evaporation based on the surface flux would yield an additional dissipation term of the form

$$\mathcal{D}_E \propto |U_2| \, d^2. \tag{32}$$

The effect of these additional dissipation terms is likely to lead to greater reduction of the moisture variance than found here, further correlating moisture and temperature.

While the MQG system is highly idealized, the impacts of relative humidity on the generation of kinetic energy in geostrophic turbulence have also been noted in moist convection (Pauluis and Held 2002a; Pauluis 2011; Singh and O'Gorman 2016), tropical cyclones (Pauluis and Zhang 2017) and the global circulation (Laliberté et al. 2015). Furthermore, the mathematical expressions

for dissipation by diffusion and precipitation in MQG correspond with the irreversible entropy production entropy due to diffusion of water vapor and irreversible phase changes. These strongly indicate that our findings are not an artifact of the MQG system, but reflect the physical sensitivity of moist eddies to the relative humidity of the atmosphere. Homogeneous models remain relevant for studying the energetic output relative to a saturated state, even if they exhibit different trends than non-homogeneous models (Lutsko et al. 2024).

Furthermore, we have defined metrics that can be calculated explicitly for a range of models and observations. The conversion and generation ratios are computed from quantities discussed in previous studies of moist dynamics (e.g., Chang et al. 2002, Fig. 8) combined with the gross moist stability of Neelin and Held (1987). The moist conversion efficiency is computed from a combination of these quantities. Similarly, the ratio between the mean moisture deficit and its variability can be calculated from the difference between the humidity and its saturation value, which can be computed globally or regionally (e.g., just in the storm tracks). Future work can thus verify and connect the results of our study with more complex models and the atmosphere.

- Acknowledgments. This research was supported in part by the US NSF through award OAC2004572 and the NYU IT High Performance Computing resources, services, and staff expertise.
  We thank Pablo Zurita-Gotor and two anonymous reviewers for helpful comments.
- Data availability statement. The code used to generate the data in this study is stored in the repository at https://github.com/margueriti/Moist\_QG\_public.

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